



1FW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                       |   |                              |
|---------------------------------------|---|------------------------------|
| In re Patent Application of:          | ) | Atty Docket No.:             |
| <b>PHILLIPS ET AL</b>                 | ) | <b>78385 (18-32 US DIV2)</b> |
|                                       | ) |                              |
| Serial No. <b>10/706,211</b>          | ) | Art Unit: <b>1772</b>        |
|                                       | ) |                              |
| Filing Date: <b>NOVEMBER 12, 2003</b> | ) | Examiner:                    |
|                                       | ) | <b>ALICIA ANN CHEVALIER</b>  |
| Confirmation No. <b>6324</b>          | ) |                              |
|                                       | ) |                              |
| For: <b>SECURITY ARTICLES HAVING</b>  | ) |                              |
| <b>DIFFRACTIVE SURFACES AND</b>       | ) |                              |
| <b>COLOR SHIFTING BACKGROUNDS</b>     | ) |                              |
|                                       | ) |                              |

**CITATION UNDER 37 CFR §1.97**

**MAIL STOP AMENDMENT**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
U.S.A.

Sir:

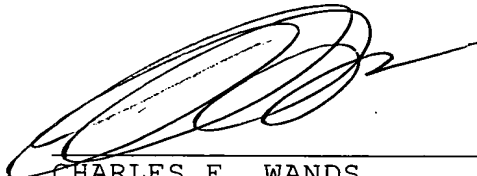
Attached is form PTO-1449 listing references for consideration in the examination of the above-identified application. Copies of the published applications as well as pending application are provided for the Examiner's convenience. In accordance with current USPTO procedures published 05AUG2003, in 1276 OG 55, copies of the U.S. patent documents cited in the form PTO-1449 are not attached. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

In re Patent Application of:  
**PHILLIPS ET AL**  
Serial No. 10/706,211  
Filed: 11/12/2003

---

This submission is made in accordance with the provisions of 37 CFR \$1.97(c). Please charge Deposit Account No. **50-1465** the amount of \$180.00 to cover the processing fee. If any additional extension and/or fee is required, or if any additional fee for claims is required, authorization is given to charge Deposit Account No. **50-1465**.

Respectfully submitted,



CHARLES E. WANDS  
Reg. No. 25,649  
Customer No. 27975

Telephone: (321) 725-4760

/mdb

**CERTIFICATE OF MAILING OR FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: M/S AMENDMENT, COMMISSIONER OF PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on this 18 day of March, 2005.

Joore



|  |  |                                     |
|--|--|-------------------------------------|
| <b>Form PTO 1449A</b><br><br><b>U.S. Department of Commerce</b><br><b>Patent and Trademark Office</b><br><br>Information Disclosure Statement by Applicant | <b>ATTY. DOCKET NUMBER:</b><br>78385 (18-32 US DIV2) | <b>SERIAL NUMBER:</b><br>10/706,211 |
|  | <b>APPLICANT:</b><br>PHILLIPS ET AL.                 |                                     |
|  | <b>FILING DATE:</b><br>NOVEMBER 12, 2003             | <b>GROUP:</b><br>1772               |

**U.S. Patent Documents**

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE          | NAME             | CLASS | SUBCLASS | FILING<br>APP |
|---------------------|-----------------|---------------|------------------|-------|----------|---------------|
|                     | 6,692,830       | Feb 17, 2004  | Argoitia et al.  | 428   | 403      |               |
|                     | 6,749,777       | Jun 15, 2004  | Argoitia et al.  | 252   | 582      |               |
|                     | 6,761,959       | July 13, 2004 | Bonkowski et al. | 428   | 156      |               |
|                     | 20040081807     | Apr 29, 2004  | Bonkowski et al. | 428   | 195.1    |               |
|                     | 20040094850     | May 20, 2004  | Bonkowski et al. | 264   | 1.34     |               |
|                     | 10/666,318      | Sep 18, 2003  | Phillips et al.  |       |          |               |
|                     | 11/047,389      | Jan 31, 2005  | Phillips et al.  |       |          |               |
|                     |                 |               |                  |       |          |               |

**Foreign Patent Documents**

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | NO |
|  |                 |      |         |       |          |             |    |

**Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)**

|  |  |                        |
|--|--|------------------------|
|  |  |                        |
|  |  |                        |
|  |  |                        |
| <b>EXAMINER</b>  |  | <b>DATE CONSIDERED</b> |
| <i>EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant</i> |  |                        |